

# NIRS and IVUS for Characterization of Atherosclerosis in Patients Undergoing Coronary Angiography

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**OBJECTIVES** The aim of this study was to compare the findings of near-infrared spectroscopy (NIRS), intravascular ultrasound (IVUS) virtual histology (VH), and grayscale IVUS obtained in matched coronary vessel segments of patients undergoing coronary angiography.

**BACKGROUND** Intravascular ultrasound VH has been developed to add tissue characterization to the grayscale IVUS assessment of coronary plaques. Near-infrared spectroscopy is a new imaging technique able to identify lipid core-containing coronary plaques (LCP).

**METHODS** We performed NIRS and IVUS-VH pullbacks in a consecutive series of 31 patients with a common region of interest (ROI) between 2 side branches. For each ROI, we analyzed the chemogram blocks by NIRS, plaque area and plaque burden by grayscale IVUS, and tissue types by IVUS-VH. The chemogram block is a summary metric of a 2-mm vertical slice of the chemogram. The value ranges from 0 to 1 according to the presence of lipids and represents the probability of LCP with a color scale from red (low probability) through orange and tan to yellow (high probability).

**RESULTS** Plaque area ( $\text{mm}^2$ ) increases as percentage VH derived-necrotic core (NC) content ( $4.6 \pm 2.7$  vs.  $7.4 \pm 3.5$  vs.  $8.6 \pm 3.4$  vs.  $7.9 \pm 3.3$ , grouped in percentage NC quartiles,  $p < 0.001$ ) and chemogram block probability color bin thresholds increase ( $4.9 \pm 3.8$  red,  $7.3 \pm 3.6$  orange,  $8.1 \pm 3.4$  tan, and  $8.7 \pm 3.4$  yellow,  $p < 0.001$ ). The correlation between the block chemogram detection of lipid core and percentage NC content by VH was weak ( $r = 0.149$ ). Correction for the presence of calcium does not improve this correlation.

**CONCLUSIONS** Larger plaque area by grayscale IVUS was more often associated with either elevated percentage VH-NC or LCP by NIRS; however, the correlation between the detection of LCP by NIRS and necrotic core by VH is weak. (J Am Coll Cardiol Img 2011;4:647–55) © 2011 by the American College of Cardiology Foundation

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Lipid core-containing coronary plaques (LCP) are thought to be a cause of most acute coronary syndromes (ACS) (1,2). Near-infrared spectroscopy (NIRS), which is routinely used in science and industry to determine the chemical composition of substances, has the potential to identify such LCP *in vivo*, possibly improving patient risk stratification and guiding therapy (3). A novel intracoronary catheter system, that employs

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NIRS to detect LCP *in vivo*, has recently been developed. The system was prospectively validated versus histology in a double-blind manner with over 2,500 coronary autopsy sections (4). A clinical trial then documented that similar NIRS spectroscopy data could be acquired *in vivo* (5).

Intravascular ultrasound (IVUS)-based methods have also been developed to detect “necrotic core,” a term considered to be synonymous with “lipid core.” Necrotic core (NC) by IVUS-virtual histology (VH) has been extensively studied (6) and related to clinical characteristics (7) and cardiovascular risk score (8). Intravascular ultrasound VH has also been used for testing the efficacy of novel therapies (9) and has recently been associated with a higher risk of events in a large trial (10). Although NC detection by IVUS-VH is based on pattern classification of backscattering ultrasound signal (11), LCP detection by NIRS is based on near infrared spectral signals from coronary plaques (4).

Therefore, the aim of our study was to explore the relationship between the plaque parameters detected by NIRS (LCP) with those detected by grayscale IVUS (plaque area) and VH (VH components).

## METHODS

**Population.** We prospectively analyzed all the consecutive patients who underwent IVUS-VH and NIRS evaluation in the same artery at our institution (Thoraxcenter, Erasmus Medical Center, Rotterdam, the Netherlands). All patients included were more than 18 years of age, with stable angina pectoris or unstable angina pectoris or with ACS. After percutaneous coronary intervention of the culprit lesion, these patients underwent imaging of a vessel that did not contain the culprit lesion. All

the study coronary vessels included were accessible to the IVUS-VH and Lipiscan (InfraReDx, Burlington, Massachusetts) catheters and had a <50% reduction in lumen diameter by angiographic visual estimation throughout a target segment of at least 40 mm in length. Coronary vessels that received a bypass graft ( $n = 5$ ) with a minimal lumen diameter <2 mm or with a diameter stenosis >50% by angiographic visual estimation in the segments to be analyzed ( $n = 11$ ) were excluded.

The study was conducted under the supervision of the institutional review board, and a dedicated written informed consent form was required.

**Grayscale and radiofrequency IVUS analysis.** Radiofrequency-IVUS with a phased-array, 20-MHz, 3.2-F catheter (Eagle Eye, Volcano Corporation, Rancho Cordova, California) was performed. During motorized catheter pullback, at 0.5 mm/s, grayscale-IVUS and raw radiofrequency data capture gated to the R-wave were recorded (s5i system, Volcano Corporation). Radiofrequency-IVUS uses spectral (frequency) analysis as well as amplitude data from the IVUS signal, which have been validated for tissue characterization against histological samples with high sensitivity and specificity (11,12).

All baseline IVUS images were prospectively analyzed offline by independent core laboratory (Cardialysis BV, Rotterdam, the Netherlands). The IVUS analyses were performed with VIAS software (Volcano Corporation). Contour detection was performed by experienced IVUS analysts who were blinded to the NIRS results (13). Quantitative grayscale IVUS measurements included vessel area, lumen area, plaque area (vessel area – lumen area) and plaque burden ( $[\text{plaque area}/\text{vessel area}] \cdot 100$ ). For the radiofrequency-IVUS analyses, 4 tissue components (NC—red; dense calcium—white; fibrous—dark green; and fibrofatty—light green) were identified with autoregressive classification systems. Each individual tissue component was quantified and color coded in IVUS cross sections, as previously described (11,14).

**NIRS analysis.** The NIRS system consists of a 3.2-F rapid exchange catheter, a pullback and rotation device, and a console. A motorized catheter pullback, at 0.5 mm/s, was performed in the same artery as IVUS-VH. During the acquisition, as many landmarks as available were identified by the technicians/physicians, under fluoroscopic guidance, identifying fiducial locations such as side branches. These fiducial locations were documented by means

### ABBREVIATIONS AND ACRONYMS

**ACS** = acute coronary syndrome(s)

**GUI** = graphical user interface

**IVUS-VH** = intravascular ultrasound-virtual histology

**LCP** = lipid core-containing coronary plaques

**NC** = necrotic core

**NIRS** = near-infrared spectroscopy

**ROI** = region of interest

**VH-NC** = virtual histology-necrotic core

**Table 1. Demographic, Clinical, and Angiographic Characteristics of the Study Patients (n = 31)**

Age, yrs	60.4 ± 9.6
Male	26 (83)
Clinical history	
Hyperlipidemia	21 (67)
Hypertension	20 (64)
Diabetes mellitus	5 (16)
Current smoker	18 (58)
Prior MI	16 (51)
Prior PCI	4 (13)
Prior coronary artery bypass graft	3 (9)
Cerebrovascular accident/transient ischemic attack	2 (6)
Family history of coronary artery disease	17 (54)
Congestive heart failure	2 (6)
Clinical presentation	
Post MI	2 (6)
Unstable angina	16 (51)
Stable angina	13 (43)
Vessel investigated by IVUS-VH and NIRS	
LAD	6 (20)
LCx	16 (51)
RCA	9 (29)

Values are mean ± SD or n (%).  
 IVUS-VH = intravascular ultrasound virtual histology; LAD = left anterior descending artery; LCx = left circumflex artery; MI = myocardial infarction; NIRS = near infrared spectroscopy; PCI = percutaneous coronary interventions; RCA = right coronary artery.

of an angiogram, showing the position of the NIRS probe.

The system acquires approximately 1,000 NIRS measurements/12.5 mm of artery scanned. Each measurement interrogates an approximate volume of 1 to 2 mm<sup>3</sup> of lumen surface perpendicular to the long axis of the catheter and centered on the optical tip of the catheter. The measurement of the probability of LCP for each scanned arterial segment is displayed as a map, with the x-axis indicating the pullback position in millimeters and the y-axis indicating the circumferential position of the measurement in degrees. The entire display is termed “chemogram,” with the probability of LCP presence coded on a color scale from red to yellow (0 for red, and 1 for yellow). To enhance interpretation of the chemogram and allow block-by-block comparison with histology (4), a summary metric (the chemogram block) is computed to display the probability that an LCP is present in each 2-mm block of the pullback, without regard to rotation. The chemogram block display in the graphical user interface (GUI) uses a binned color scale: red ( $p < 0.57$ ), orange ( $0.57 \leq p < 0.84$ ), tan ( $0.84 \leq p < 0.98$ ) and yellow ( $p \geq 0.98$ ).

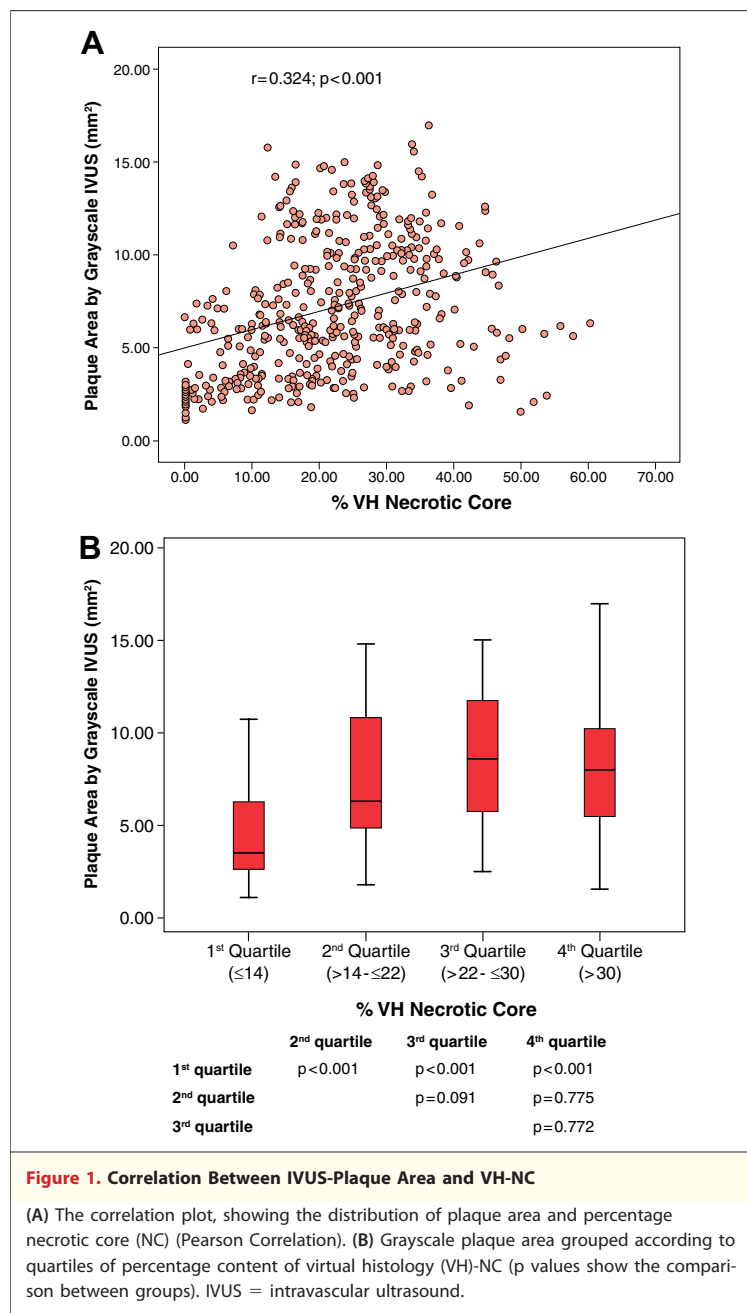
**Cross-correlation of the IVUS-VH and NIRS.** An independent experienced analyst, blinded to all patient clinical information, used a side-by-side view of both imaging techniques (IVUS-VH and NIRS), color blinded for IVUS-VH data. The cross correlation between the imaging data was obtained by the following 4-step method. First, the landmarks in the NIRS pullback (side branches) were identified in the angiogram. Second, the landmarks in the angiogram and in the NIRS pullback were also identified in the IVUS-VH. Third, the region so identified in NIRS and IVUS-VH pullbacks was defined as our region of interest (ROI). Fourth, the ROI identified in the IVUS-VH was divided into subregions, according to the number of chemogram blocks displayed by NIRS in that ROI (each chemogram block gives information on a region of 2 mm in length), resulting in an average of 4 VH frames/2-mm chemogram block. The percentage content of each VH component for a given collection of frames matched to a chemogram block was done in this manner: [total area of each VH component in those frames/total plaque area in those frames] · 100.

**Statistical analysis.** Continuous variables are expressed as mean ± SD. The percentage content of NC was divided into quartiles and used as categorical variables. The probability displayed in the chemogram block, that LCP is present, was used as continuous variable (range from 0 to 1) and also transformed into a categorical variable, according to the same values used in the binned

**Table 2. Baseline Intracoronary Imaging Data/ROI (n = 31)**

Grayscale IVUS and VH data	
Mean vessel area (mm <sup>2</sup> )	13.6 ± 6.2
Mean lumen area (mm <sup>2</sup> )	7.3 ± 3.1
Plaque burden (%)	39.5 ± 15.6
Mean plaque area (mm <sup>2</sup> )	5.9 ± 3.9
Fibrous tissue (%)	55.6 ± 17.9
Fibrofatty tissue (%)	9.1 ± 8.6
NC tissue (%)	22.2 ± 12.1
Dense calcium (%)	12.9 ± 12.2
NIRS data	
Number of blocks/ROI	18 ± 8
Block chemogram value	0.37 ± 0.36
Block chemogram color	
Red	397 (70)
Tan	68 (12)
Orange	42 (7)
Yellow	56 (11)

Values are mean ± SD or n (%).  
 NC = necrotic core; ROI = region of interest; other abbreviations as in Table 1.



color scale of the GUI (red,  $p < 0.57$ ; orange,  $0.57 \leq p < 0.84$ ; tan,  $0.84 \leq p < 0.98$ ; and yellow,  $p \geq 0.98$ ). Comparisons between groups and correlations between variables were applied, depending on normal or non-normal distribution of the variables. Statistical analysis for comparison between groups was also performed, with Bonferroni or Dunn corrections for multiple comparisons. The normal distribution was tested with the Kolmogorov-Smirnov test. No adjustments for patient or frame clustering data have been performed. A probabil-

ity value  $< 0.05$  was considered significant, and all tests were 2-tailed. Data were analyzed with SPSS software (version 16.0, SPSS, Inc., Chicago, Illinois).

## RESULTS

**Population.** A total of 31 patients were included in the present analysis. Table 1 shows baseline clinical characteristics. None of the patients experienced an adverse event due to the imaging acquisition.

**Intracoronary imaging data.** Overall, the average length of ROI was 36.0 mm, and the mean plaque burden/ROI was 39.5% with a mean value of NC of 22.2%. A mean of 18 block chemograms were identified per each ROI, exhibiting a mean value of 0.37. Most of them (70%) were coded as red in the GUI, although 11% were coded as yellow (Table 2). A total of 563 NIRS chemogram blocks were then matched with VH data.

**Correlation between grayscale IVUS plaque area and VH-NC/LCP by NIRS.** A large plaque area by grayscale IVUS was more often associated with elevated percentage VH-NC content ( $r = 0.324$ ,  $p < 0.001$ ) (Fig. 1A). In particular, grayscale plaque area, grouped according to quartiles of percentage VH-NC content, was significantly different between the quartiles ( $4.6 \pm 2.7 \text{ mm}^2$  vs.  $7.4 \pm 3.5 \text{ mm}^2$  vs.  $8.6 \pm 3.4 \text{ mm}^2$  vs.  $7.9 \pm 3.3 \text{ mm}^2$ , from 1st to 4th quartile, respectively,  $p < 0.001$ ). In further analysis, the p values were statistically significant for the comparison of 1st versus 2nd, 3rd, or 4th quartile, although no differences were found between the other quartiles (Fig. 1B).

A large plaque area by grayscale IVUS was more often associated also with LCP by NIRS ( $r = 0.449$ ,  $p < 0.001$ ) (Fig. 2A). In particular, grayscale plaque area, grouped according to chemogram block probability color bin thresholds, was significantly different between the groups ( $4.9 \pm 3.8 \text{ mm}^2$  red,  $7.3 \pm 3.6 \text{ mm}^2$  orange,  $8.1 \pm 3.4 \text{ mm}^2$  tan, and  $8.7 \pm 3.4 \text{ mm}^2$  yellow,  $p < 0.001$ ). In the analysis comparing the various groups, p values were statistically significant for the comparison between red versus orange, tan, or yellow (Fig. 2B).

**Correlation between VH and NIRS.** Overall, 365 NC-rich ( $\geq 10\%$  of NC% content) VH-frames were identified. Fifty-two of these (14.2%) were coded as yellow by NIRS, although 225 (61.6%) were coded as red by NIRS. Conversely, 4 of 73 NC-poor ( $< 10\%$  of NC content) VH frames by IVUS-VH were coded as yellow by NIRS.

Correlation between percentage VH-NC content of plaque and chemogram block probability was weak ( $r = 0.149$ ,  $p = 0.002$ ) (Fig. 3A). The percentage VH-NC content, grouped according to chemogram block probability color bin thresholds, was significantly different between groups ( $20.6 \pm 11.9$  red,  $23.5 \pm 12.8$  orange,  $23.0 \pm 10.7$  tan, and  $27.1 \pm 11.7$  yellow,  $p = 0.002$ ). However, yellow showed a higher percentage VH-NC content compared with red, although no differences were found among the other groups (Fig. 3B).

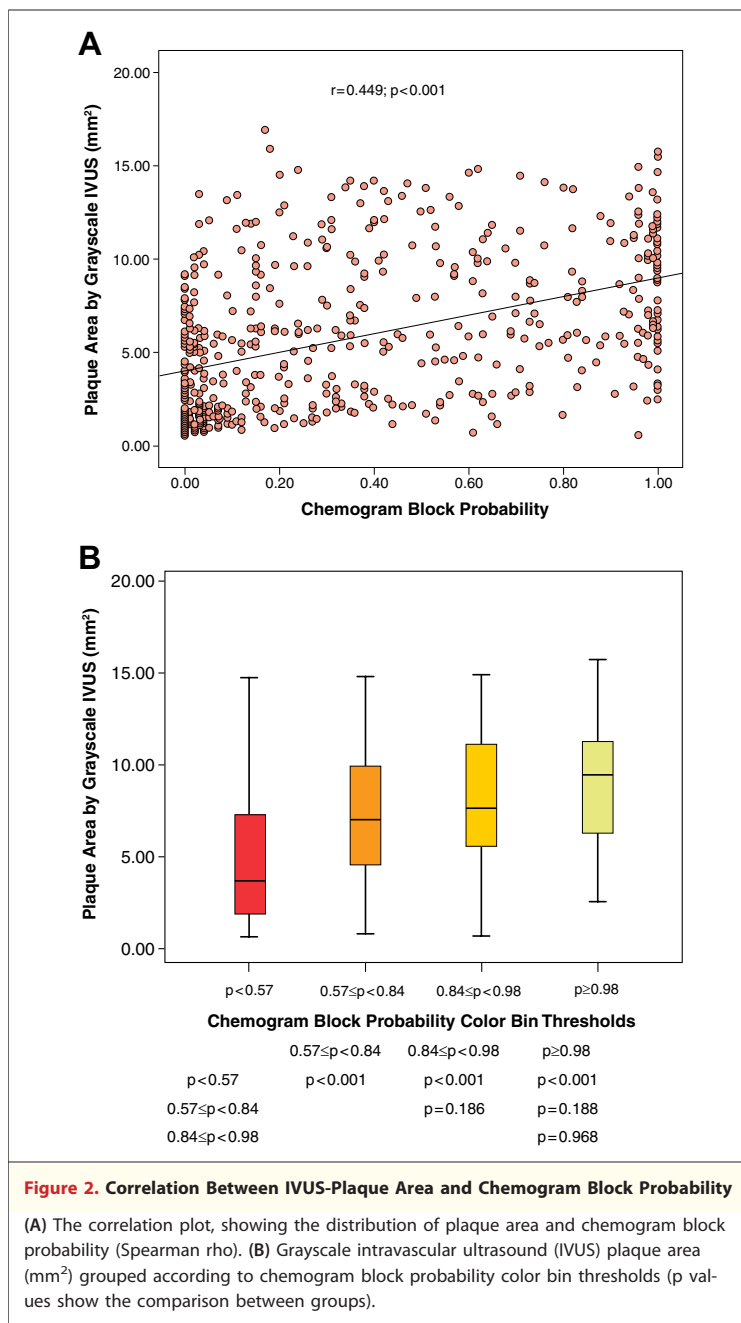
The correlation between the block chemogram and the total percentage content of VH-NC and fibrofatty tissues was also weak, and the data were widely dispersed ( $r = 0.252$ ,  $p < 0.001$ ). Although in the lesions with a percentage content of dense calcium (DC)  $>10\%$ , the correlation between percentage VH-NC and block chemograms was significant and weak ( $r = 0.203$ ,  $p < 0.001$ ), in the lesions with a percentage content of DC  $<10\%$ , this correlation was not significant ( $r = 0.130$ ,  $p = 0.054$ ). In particular, although the block chemogram values were not different between calcified and noncalcified plaques ( $0.42 \pm 0.43$  vs.  $0.40 \pm 0.41$ ,  $p = 0.484$ ), the percentage VH-NC was significantly higher in calcified plaques, compared with noncalcified plaques ( $29.0 \pm 9.3\%$  vs.  $15.5 \pm 10.6\%$ ,  $p < 0.001$ ).

Figures 4 and 5 show some cases of agreement and disagreement between the 2 techniques.

## DISCUSSION

The major findings of our study are: 1) NC-rich VH plaques and LCP identified by NIRS have higher grayscale plaque area than corresponding NC/lipid-poor counterparts; and 2) the correlation between the relative VH-NC content of the plaque and the values of the block chemogram of the NIRS is weak.

Near-infrared spectroscopy and IVUS-VH are 2 intracoronary imaging techniques that allow identification of plaque with high lipid/NC content, interpreting the near infrared spectral signals and the intravascular backscattering coming from the atherosclerotic plaque, respectively. There is an important consideration to make with regard to the validation of these techniques. For the validation of NIRS, a lipid core plaque was defined as a fibroatheroma with lipid core  $>60^\circ$  in circumferential extent,  $>200\text{-}\mu\text{m}$ -thick, with a fibrous cap having a mean thickness  $<450\ \mu\text{m}$  and correlated with each chemogram block (4).

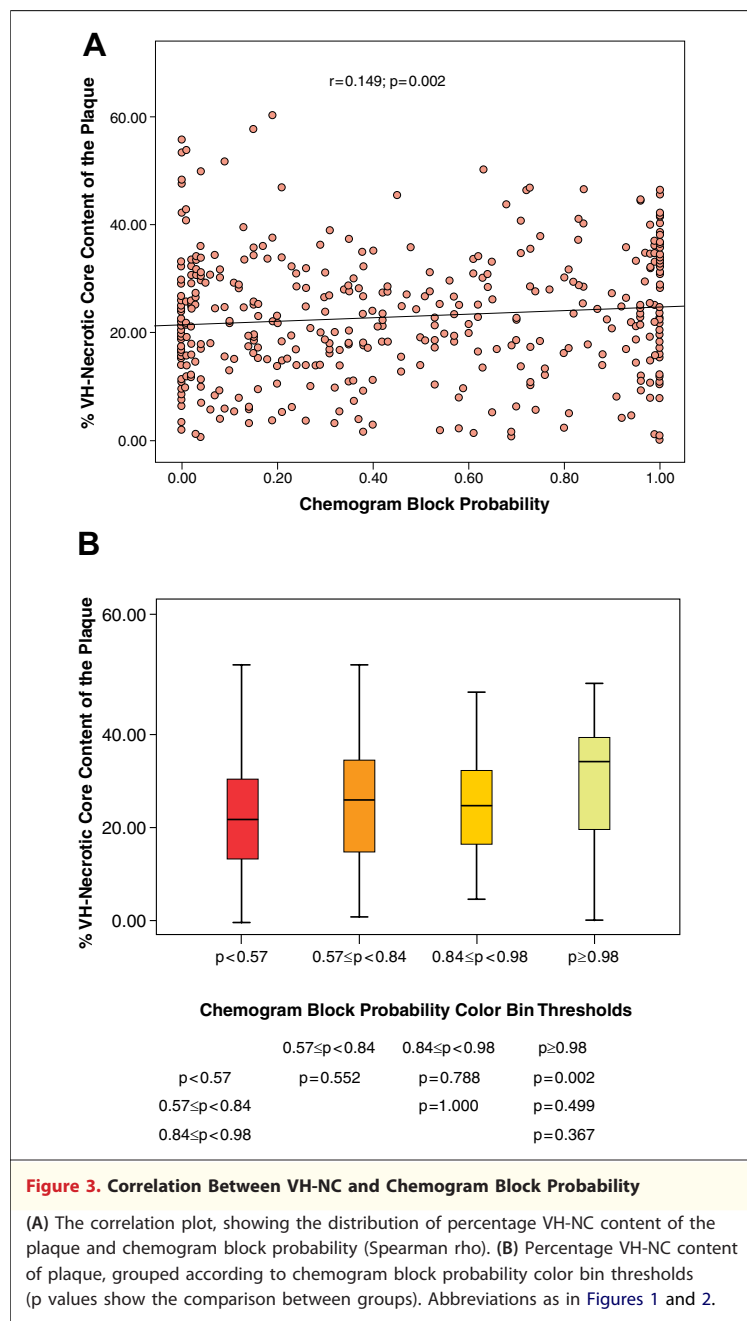


**Figure 2. Correlation Between IVUS-Plaque Area and Chemogram Block Probability**

(A) The correlation plot, showing the distribution of plaque area and chemogram block probability (Spearman rho). (B) Grayscale intravascular ultrasound (IVUS) plaque area ( $\text{mm}^2$ ) grouped according to chemogram block probability color bin thresholds ( $p$  values show the comparison between groups).

For the validation of IVUS-VH, NC was defined as region comprising cholesterol clefts and foam cells. Some lipid components in the presence of collagen are also coded as fibrofatty tissues (11). This is of critical importance, because it might be the source of their different capabilities of detecting NC/lipid.

In this analysis, we found that plaque area increases with the increase of its VH-NC content (Fig. 1). In addition, it also increases according to the block chemogram value, with the plaques



**Figure 3. Correlation Between VH-NC and Chemogram Block Probability**

(A) The correlation plot, showing the distribution of percentage VH-NC content of the plaque and chemogram block probability (Spearman rho). (B) Percentage VH-NC content of plaque, grouped according to chemogram block probability color bin thresholds (p values show the comparison between groups). Abbreviations as in Figures 1 and 2.

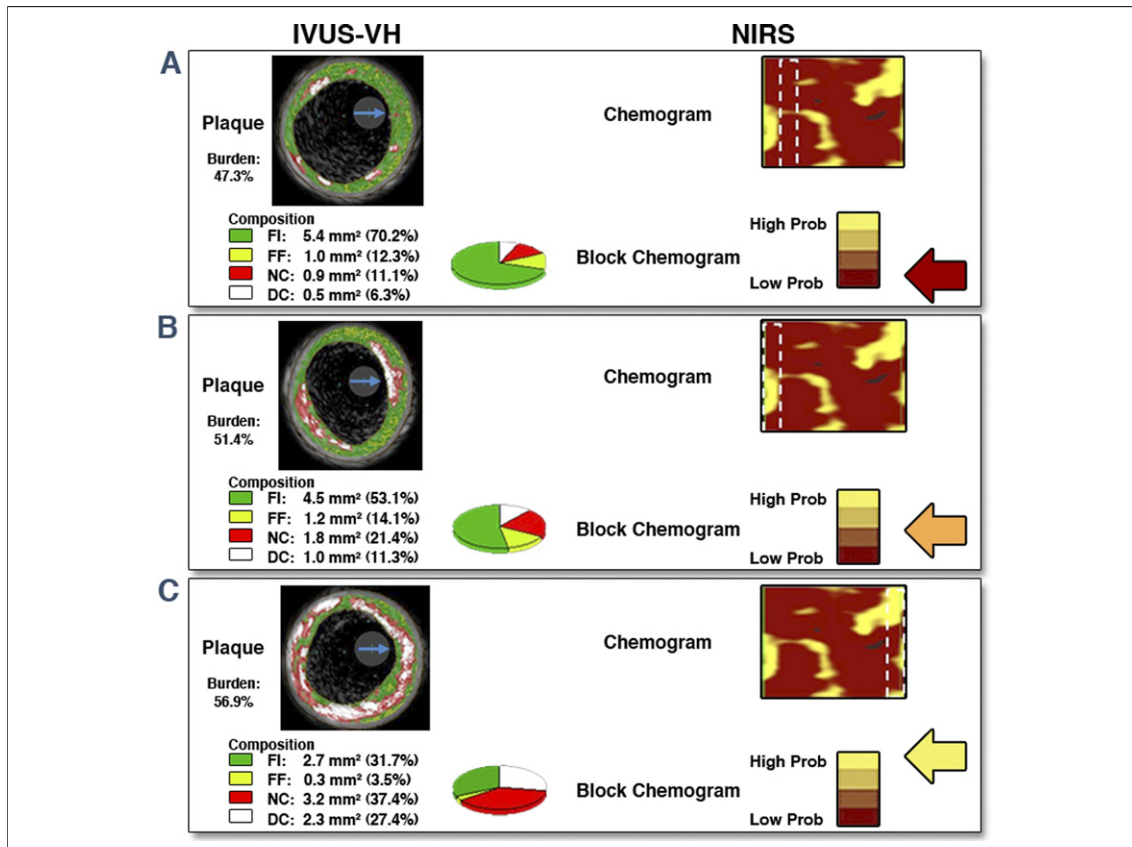
coded as yellow by NIRS having larger area, compared with those coded as red (Fig. 2) Plaque area is, indeed, an estimation of severity of atherosclerosis, and we have previously shown that it correlates with VH-NC size (15,16). Although plaque and lipid/necrotic core size are closely related, the PROSPECT (Providing Regional Observations to Study Predictors of Events in the Coronary Tree) trial has shown that both high plaque burden and thin cap NC-rich VH plaques are independent predictors of long-term events in patients after ACS (10).

Of note is that, although plaques coded as yellow by NIRS tend to have higher VH-NC than those coded as red, the data are widely dispersed, and hence, the correlation between the block chemograms and relative VH-NC is weak with low statistical significance. As previously mentioned, VH-fibrofatty tissue also includes some lipid component. However, considering relative VH-NC and VH-fibrofatty tissue together, its correlation with yellow-block chemogram was still weak.

It is also important to highlight that, unlike ultrasound, NIRS signal is not affected by an inability to cross calcium. Dense calcium was shown to have an impact on a possible artifactual measurement of NC tissue by VH (17). In our analysis we confirm this finding, showing a higher percentage VH-NC in the calcified VH lesions (>10% of DC), compared with the less-calcified counterparts, whereas block chemograms were not influenced by the presence of calcium. Excluding calcified lesions from the analysis, a potential source of incorrect classification of NC, the weak correlation between VH-NC and yellow block chemograms did not improve but rather worsened. The weakness of this correlation cannot be fully explained, because a gold-standard, such as histology, is missing in the present study. The fundamental differences in the principles of each technique—VH is based on pattern classification of backscattering ultrasound signal, whereas NIRS is based on near infrared spectral signals—and their respective limitations should be taken into account in the interpretation of this disagreement between NIRS and IVUS-VH.

In addition to incorrect classification of NC behind calcium, VH has other limitations, related to contours drawing (e.g., in presence of side branches) (18,19) and thrombus classification (18). An important consideration is also that the sensitivity and specificity of NC-VH detection is not 100% but is approximately 90% (11,12,20–22).

Conversely, Gardner et al. (4), comparing the chemogram signals and histological findings, reported that false positive reading of NIRS could be caused by fibroatheromas too small or with caps too thick to meet criteria for LCP of interest or by lesions containing significant lipid but not having NC (intimal xanthoma and pathologic intimal thickening). However, such false positives were not a failure of spectroscopy (i.e., lipid was present and generated a NIRS signal). False negative readings, by contrast, were frequently produced by small lipid cores with extensive calcification and probably dis-



**Figure 4. Cases of Agreement Between VH and NIRS**

(A) There is no confluent NC in the VH that correlates with a low probability of lipid content by near-infrared spectroscopy (NIRS). (B) A VH plaque with moderate amount of NC (from 7 to 9 o'clock) that is confluent in close proximity to the lumen. This correlates with intermediate probability of lipid by NIRS. (C) Large confluent area of VH-NC from 7 to 9 o'clock next to a severe calcified region that correlates with a "yellow" NIRS plaque representing high probability for lipid content. DC = dense calcium; FF = fibrofatty tissue; FI = fibrous tissue; other abbreviations as in Figures 1 and 2.

placement of lipid by calcium or from signal obtained in a large lumen in which blood obscures the lipid signal. In addition, we can hypothesize that an eccentric position of the NIRS catheter far away from the plaque together with a fibrous cap too thick to allow the transmission of the NIR signal can explain some cases of disagreement between NIRS and VH.

A large and prospective study is necessary to compare the clinical utility of the information coming from these 2 imaging techniques, because so far neither IVUS-VH nor NIRS could be used to make treatment decisions.

**Study limitations.** We do not know the exact position of the NIRS catheter inside the vessel (close or far away from the plaque), possibly introducing error into the apparent angular extent of NIRS-identified LCP. A new NIRS catheter (Lipiscan IVUS, InfraReDx) has been developed to simultaneously acquire IVUS and NIRS data, making it possible to

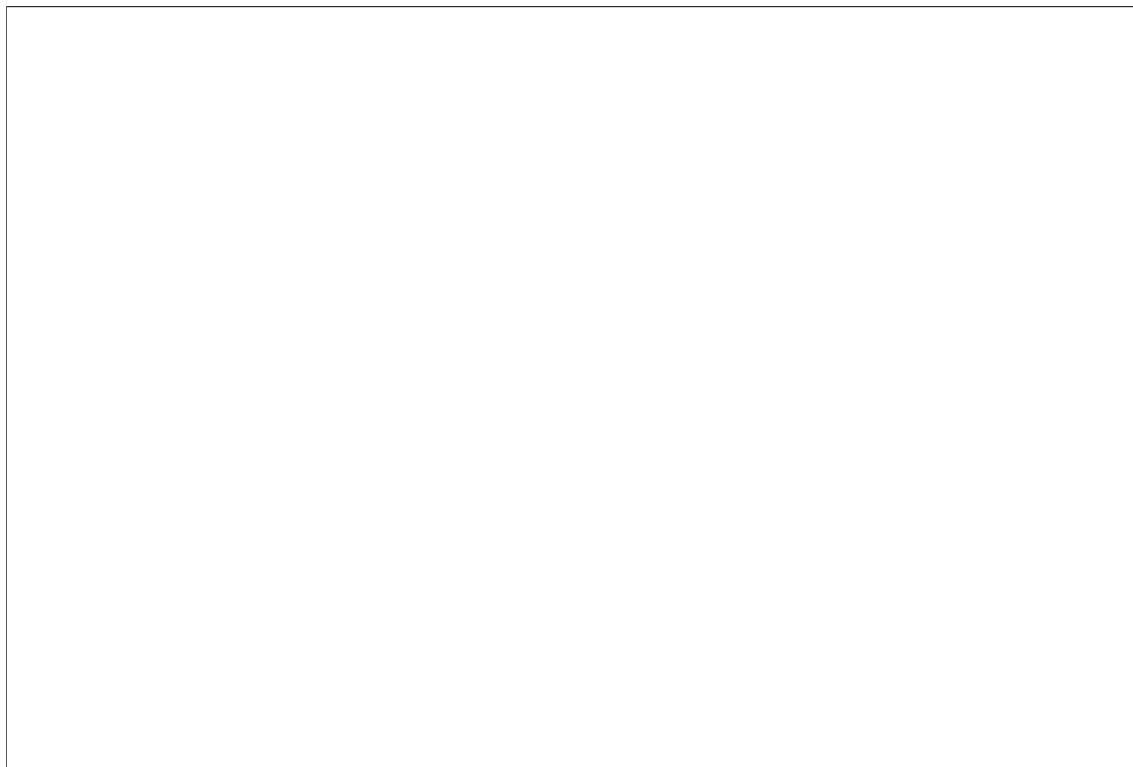
correct for this potential distortion (23,24). Although the greatest care was taken to ensure precise collocation of VH and NIRS sections, it is possible that inherent limitations therein played a role in the accuracy of the matching.

We did not explore the relationships among the cap thickness, NIRS, and VH, because other devices—namely optical coherence tomography—provide a more accurate estimation of cap thickness, compared with IVUS-VH.

Finally, the present study compares the results of 3 imaging techniques without comparison with the gold standard of histology.

## CONCLUSIONS

The LCP identified by NIRS and NC-rich VH plaques tend to have bigger plaque area (on IVUS grayscale) than corresponding lipid-poor/necrotic core counterparts. However, the correlation be-



**Figure 5. Cases of Disagreement Between VH and NIRS**

We reported some examples of disagreement between VH and NIRS, with some hypothetical explanations. Abbreviations as in Figures 1, 2, and 4.

tween the detection of lipid core rich plaque by NIRS and NC by VH is weak. These findings indicate the need for a similar comparative study of the 2 imaging techniques in autopsy specimens in which the imaging results can be compared with the gold standard of histology. A comparative clinical study in which the ability of either

technique to predict clinical outcomes would also be of value.

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