

EDITORIAL COMMENT

Noninvasive Estimation of LV Filling Pressures in Heart Failure and Reduced Ejection Fraction: Revisited and Verified*

Sherif F. Nagueh, MD

Houston, Texas

Echocardiography plays a key role in the evaluation of patients presenting with congestive heart failure (CHF). This includes diagnosis, prognosis, and guiding treatment decisions. Aside from the important measurements of left ventricular (LV) volumes and ejection fraction (EF), Doppler can be successfully applied to assess LV and right ventricular hemodynamic status. Several studies have shown the accuracy of these techniques against the invasive gold standard (1–12). In fact, Doppler echocardiography provides data that are comparable to that obtained by a pulmonary artery (PA) catheter (13).

See page 927

More importantly, several echocardiography laboratories routinely report such measurements as LV stroke volume, cardiac index, PA pressures, and estimates of left atrial (LA) and right atrial pressures. Likewise, several heart failure groups use the hemodynamic data obtained by Doppler to select and adjust therapy for CHF patients and in clinical trials in lieu of invasive hemodynamic measurements (14).

Recently, the accuracy of the Doppler approach for estimating LA pressure has been questioned, with 1 study reporting weak to no significant correlation between Doppler velocities and mean wedge pressure measured by a PA catheter (15) in patients with acute decompensated heart failure. However, there are a number of limitations to the

latter study that have been noted by several groups, including the American Society of Echocardiography/European Association of Echocardiography Diastolic Function Writing Group (16). The study by Ritzema et al. (17) in this issue of *JACC* presents important results that are helpful in addressing the accuracy of tissue Doppler (TD) imaging in patients with CHF and reduced EF. The study design has the strengths of using a direct measurement of LA pressure (as opposed to pulmonary capillary wedge pressure) and of including ambulatory patients in various grades of diastolic dysfunction and receiving medical therapy. The characteristics of these patients led to a study sample that is very similar to most CHF patients who are seen in the ambulatory setting. The authors noted that the ratio of mitral peak velocity of early filling to early diastolic mitral annular velocity was the most accurate parameter in identifying patients with increased LA pressure. Of note, the overall correlations were not as strong when adjusted for multiple comparisons, because patients were imaged at several time points, but the ratio was highly accurate as judged by receiver-operator characteristic analysis. Interestingly, in a finding similar to previous studies (12), the authors show in Figure 4 of their report that for most repeat studies, changes in the ratio of mitral peak velocity of early filling to early diastolic mitral annular velocity tracked well the changes in left atrial pressure. The study has the major limitation of including few patients, which precluded the authors from drawing reliable conclusions about the accuracy of Doppler techniques in certain subsets, as those with cardiac resynchronization therapy and in patients with mitral regurgitation (although they note no differences in accuracy in these subsets).

*Editorials published in *JACC: Cardiovascular Imaging* reflect the views of the authors and do not necessarily represent the views of *JACC: Cardiovascular Imaging* or the American College of Cardiology.

From The Methodist DeBakey Heart and Vascular Center, Houston, Texas. The authors have reported that they have no relationships relevant to the contents of this paper to disclose.

In general, the results of Ritzema et al. (17) are confirmatory of several previous studies and in general supportive of the recent American Society of Echocardiography/European Association of Echocardiography guidelines for the estimation of LV filling pressures (18). The guidelines emphasize a comprehensive approach that includes mitral Doppler and TD velocities but also recommend paying close attention to both technical and functional factors when the different velocities and time intervals are applied. For example, mitral inflow velocities can have lower accuracy in patients with mitral valve disease; pulmonary vein velocities have limitations in patients with atrioventricular block and arrhythmias; and TD velocities are not reliable for assessment of LV relaxation in normal subjects, patients with primary mitral valve disease and normal EF, patients with left bundle branch block/paced rhythms, and patients with constrictive pericarditis (18).

It is also important to note that Doppler velocities, including TD early diastolic velocity, provide independent prognostic information that is incremental to clinical and other echocardiographic measurements, such as LV volumes and EF. This has been shown in several patient populations, including heart failure patients with reduced EF and those with normal EF; patients with hypertension; and patients with secondary mitral regurgita-

tion in the setting of LV systolic dysfunction, end-stage renal disease, hypertrophic cardiomyopathy, and atrial fibrillation (for a summary of these studies please refer to the reference list of Nagueh et al. [18]). Furthermore, a small randomized study has shown the promise of Doppler echocardiography in guiding medical therapy in an outpatient setting, which led to a significant reduction in hospital admissions (19).

Finally, with respect to the specific question about the application of Doppler/TD in patients with CHF and depressed EF, one can conclude—on the basis of the existing published data—that the technique with careful attention to acquisition and analysis provides an accurate assessment of LV filling pressures in most patients. This conclusion is applicable to those in acute decompensated heart failure as well as stable ambulatory patients, whether patients are receiving oral therapy or intravenous drips, and those with and those without secondary pulmonary hypertension and normal or abnormal right ventricular systolic function (1–12,17).

Reprint requests and correspondence: Dr. Sherif F. Nagueh, The Methodist DeBakey Heart and Vascular Center, 6550 Fannin, SM 677, Houston, Texas. *E-mail:* snagueh@tmhs.org.

REFERENCES

1. Vanoverschelde JL, Raphael DA, Robert AR, Cosyns JR. Left ventricular filling in dilated cardiomyopathy: relation to functional class and hemodynamics. *J Am Coll Cardiol* 1990;15:1288–95.
2. Pinamonti B, Di Lenarda A, Sinagra G, Camerini F, Heart Muscle Disease Study Group. Restrictive left ventricular filling pattern in dilated cardiomyopathy assessed by Doppler echocardiography: clinical, echocardiographic and hemodynamic correlations and prognostic implications. *J Am Coll Cardiol* 1993;22:808–15.
3. Giannuzzi P, Imparato A, Temporelli PL, et al. Doppler-derived mitral deceleration time of early filling as a strong predictor of pulmonary wedge pressure in postinfarction patients with left ventricular dysfunction. *J Am Coll Cardiol* 1994;23:1630–7.
4. Pozzoli M, Capomolla S, Pinna G, Cobelli F, Tavazzi L. Doppler echocardiography reliably predicts pulmonary artery wedge pressure in patients with chronic heart failure with and without mitral regurgitation. *J Am Coll Cardiol* 1996;27:883–93.
5. Traversi E, Pozzoli M, Cioffi G, et al. Mitral flow velocity changes after 6 months of optimized therapy provide important hemodynamic and prognostic information in patients with chronic heart failure. *Am Heart J* 1996;132:809–19.
6. Nishimura RA, Appleton CP, Redfield MM, Ilstrup DM, Holmes DR Jr., Tajik AJ. Noninvasive Doppler echocardiographic evaluation of left ventricular filling pressures in patients with cardiomyopathies: a simultaneous Doppler echocardiographic and cardiac catheterization study. *J Am Coll Cardiol* 1996;28:1226–33.
7. Rivas-Gotz C, Manolios M, Thohan V, Nagueh SF. Impact of left ventricular ejection fraction on estimation of left ventricular filling pressures using tissue Doppler and flow propagation velocity. *Am J Cardiol* 2003;91:780–4.
8. Ommen SR, Nishimura RA, Appleton CP, et al. Clinical utility of Doppler echocardiography and tissue Doppler imaging in the estimation of left ventricular filling pressures: a comparative simultaneous Doppler-catheterization study. *Circulation* 2000;102:1788–94.
9. Kim YJ, Sohn DW. Mitral annulus velocity in the estimation of left ventricular filling pressure: prospective study in 200 patients. *J Am Soc Echocardiogr* 2000;13:980–5.
10. Stein JH, Neumann A, Preston LM, et al. Echocardiography for hemodynamic assessment of patients with advanced heart failure and potential heart transplant recipients. *J Am Coll Cardiol* 1997;30:1765–72.
11. Temporelli PL, Scapellato F, Eleuteri E, Imparato A, Giannuzzi P. Doppler echocardiography in advanced systolic heart failure: a noninvasive alternative to Swan-Ganz catheter. *Circ Heart Fail* 2010;3:387–94.
12. Nagueh SF, Bhatt R, Vivo RP, et al. Echocardiographic evaluation of hemodynamics in patients with decompensated systolic heart failure. *Circ Cardiovasc Imaging* 2011;4:220–7.
13. Oh JK. Echocardiography as a noninvasive Swan-Ganz catheter. *Circulation* 2005;111:3192–4.

14. Torre-Amione G, Durand JB, Nagueh SF, Vooletich MT, Kobrin I, Pratt CM. A pilot trial of prolonged (48-hour) infusion of the dual endothelin receptor antagonist tezosentan in patients with advanced heart failure. *Chest* 2001;120:460-6.
15. Mullens W, Borowski AG, Curtin RJ, Thomas JD, Tang WH. Tissue Doppler imaging in the estimation of intracardiac filling pressure in decompensated patients with advanced systolic heart failure. *Circulation* 2009;119:62-70.
16. Nagueh SF, ASE and EAE Diastology Writing Group. Letter by Nagueh et al. regarding article, "Tissue Doppler imaging in the estimation of intracardiac filling pressure in decompensated patients with advanced systolic heart failure." *Circulation* 2009;120:e44 (letter).
17. Ritzema JL, Richards AM, Crozier IG, et al. Serial Doppler echocardiography and tissue Doppler imaging in the detection of elevated directly measured left atrial pressure in ambulant subjects with chronic heart failure. *J Am Coll Cardiol Img* 2011;4:927-34.
18. Nagueh SF, Appleton CP, Gillebert TC, et al. Recommendations for the evaluation of left ventricular diastolic function by echocardiography. *J Am Soc Echocardiogr* 2009;22:107-33.
19. Rohde LE, Palombini DV, Polanczyk CA, Goldraich LA, Clausell N. A hemodynamically oriented echocardiography based strategy in the treatment of congestive heart failure. *J Card Fail* 2007;13:618-25.

Key Words: congestive heart failure ■ diastole ■ Doppler echocardiography ■ filling pressure.